



Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Complete if Known

Substitute for form 1449A/PTO

(Use as many sheets as necessary)

Sheet

of

Application Number

Filing Date

01/23/2004

First Named Inventor

TADAHIRO OHMI

Art Unit

2818

Examiner Name

Attorney Docket Number

040258-0307826



[illegible]

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code ²	Number ⁴ Kind Code ⁵ (If known)			
R/S R/S	F	JP	9-223752	08-00-1997	MINE	
	G	JP	PCT/JP01/01967	03-00-2001		

**Examiner
Signature**

Date Considered

12/23/04

Signature		
<p>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.¹ Applicant's unique citation designation number (optional).² See Kinds Codes of classification in the Manual of Patent Examining Procedure (MPEP) 901.04.³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).⁴ For USPTO Patent Documents at www.uspto.gov or MPEP 901.04.⁵ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).⁶ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.⁷ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.⁸ Applicant is to place a check mark here if English language translation is attached.</p>		

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



PTO/SB/08b (08-03)
Approved for use through 06/30/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 1

Complete if Known

Application Number	
Filing Date	01/23/2004
First Named Inventor	TADAHIRO OHMI
Art Unit	2818
Examiner Name	
Attorney Docket Number	040258-0307826

NON PATENT LITERATURE DOCUMENTS

Examiner Initials [*]	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
NA	1	ITO ET AL., "Silicon Oxynitridation With Inductively Coupled Oxygen-Nitrogen Mixed Plasma," Jpn. J. Appl. Phys., p. 612-616, (1997).	
NA	2	HIRAYAMA ET AL., "Low-Temperature Growth of High-Integrity Silicon Oxide Films by Oxygen Radical Generated in High-Density Krypton-Plasma," IEEE, p. 249-252, (1999).	
NA	3	SAITO ET AL., "Low Temperature Formation of Gate-Grade Silicon Nitride Film Employing Microwave-Excitation High-Density Plasma," Technical Report, IEICE, p. 85-90, (1999).	

Examiner
Signature

Date
Considered

12/23/05

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.
1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.
This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.